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Winchester, MA 01890

DATE: November 10, 2004

F A X C O M M U N I C A T I O N

TO: Frantzy POINVIL FAX: 703-872-9326  
PRIMARY EXAMINER PHONE: 703-308-1113  
Group Art Unit 3628

FROM: Philip Koenig FAX: 781.721.9597  
TEL: 781.721.6800

RE:

Application SN 09/228909  
Title: Trading Systems for Fixed-Value Contracts  
Applicants: Lawrence Kohls et al

**MESSAGE**

Please find enclosed, for filing in this case, an Amendment in response to Office Action mailed 4 November 2004, and a Listing of Claims Pending upon submission of said Amendment.

Sincerely yours,

  
Philip Koenig

Reg. No. 31,386

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**CERTIFICATE OF FACSIMILE TRANSMISSION**

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By Philip Koenig

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No: 09/228909

Attorney Docket No: LAK-1

Initially Filed: January 11, 1999

RCE Filed: June 19, 2002

Art Unit: 3628

Applicants: Lawrence KOHLS et al

Primary Examiner: Frantzy POINVIL

Title: **TRADING SYSTEM FOR FIXED VALUE CONTRACTS**

**TRANSMITTAL OF AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By Facsimile to: 703-872-9326

Commissioner:

Herewith please find enclosed the following papers for filing in the matter of the above-identified application for patent:


1. Amendment under 37 CFR 1.111 in response to Office Action of 4 November 2004
2. Listing of Claims Pending at November 10, 2004 upon submission of amendment to cure informalities

Should any fee may be due upon the filing of this Amendment, you are hereby requested and authorized to charge any such fee to Pittas // Koenig deposit account No. 502052.

Respectfully submitted,

Dated: November 10, 2004

By

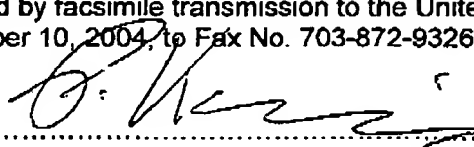
  
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By Philip Koenig



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Lawrence KOHLS et al

Docket No. LAK-1

Application No. 09/228909

Art Unit: 3628

Initial Filing date: 01/11/1999

Examiner: Frantzy POINVIL

RCE Filing date: 06/19/2002

Title: TRADING SYSTEMS FOR FIXED-VALUE CONTRACTS

**AMENDMENT UNDER 37 CFR 1.111**

**IN RESPONSE TO OFFICE ACTION OF 4 NOVEMBER 2004**

Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action mailed 4 November 2004, applicants submit this Amendment in order to cure the minor informalities, in a number of the claims presented, cited in said Office Action.